

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: John M. Borkenhagen : Date: August 07, 2003
Group Art Unit: Unassigned : IBM Corporation
Examiner: Unassigned : Intellectual Property Law
Serial No.: Unassigned : Dept. 917, Bldg. 006-1
Filed: Herewith : 3605 Highway 52 North
Title: DYNAMIC ALLOCATION OF SHARED
CACHE DIRECTORY FOR OPTIMIZING
PERFORMANCE : Rochester, MN 55901-7829

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicants request that the information listed on the attached Form PTO-1449 be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. §1.97. In accordance with 37 C.F.R. §1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standard of materiality defined in 37 C.F.R. §1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. §1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with 37 C.F.R. §1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

Serial No.: Unassigned
Docket No.: ROC920030163US1

PATENT

This Information Disclosure Statement is being filed under 37 C.F.R. §1.97(b)(1) within three months of the filing date of the present application. Accordingly no filing fee is required.

Date: August 07, 2003

Respectfully submitted,

By William J. McGinnis
William J. McGinnis, Counsel
Registration No. 25,698

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Serial No.: Unassigned
Docket No.: ROC920030163US1

FORM PTO-1449 (Modified)

ATTY. DOCKET NO.
ROC920030163US1SERIAL NO.
UnassignedLIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S
INFORMATION
DISCLOSURE STATEMENT

APPLICANT: John M. Borkenhagen

(Use several sheets if necessary)

FILING DATE: Herewith

GROUP: Unassigned

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIALS		DOCUMENT NUMBER					DATE	NAME	CLASS	SUB- CLASS	FILING DATE (IF APPRO.)
AA											
AB											
AC											
AD											
AE											
AF											
AG											
AH											
AI											
AJ											
AK											

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER					DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION	
											YES	NO
AL												
AM												
AN												
AO												
AP												

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

AR	Borkenhagen et al., IBM Patent Application Serial No. 10/403,157 (ROC920020136US1), filed 03/31/2003, "Configurable Directory Allocation".
AS	
AT	

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.